

Influence of the Philosophy of Nikāya Buddhism on Mādhyamika and Yogācāra

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This research points out the influence of the philosophy of Nikāya Buddhism on Mādhyamika and Yogācāra in order to show the interrelationship between them. The contributions of the special reference include: the influence of philosophy of Nikāya Buddhism (early Indian Buddhist schools on Mādhyamika and Yogācāra; how Mādhyamika and Yogācāra derived their tenets from the philosophy of Nikāya Buddhism, and further expanded and elaborated them and led to the efflorescence of the principal teachings of the Buddha. It is concluded that the philosophy of Nikāya Buddhism has played a key role to influence the development of the Mādhyamika and Yogācāra, and later, Mādhyamika and Yogācāra further established and led to the efflorescence of the principal teachings of the Buddha

Keywords: *Nikāya Buddhism, Mādhyamika, Emptiness, Yogācāra, Consciousness.*

The Emergence of Mādhyamika and Yogācāra

According to *Vasumitra's treatise*, the emergence period of early Buddhist Indian Schools (Nikāya Buddhism) started from about a hundred and odd years to the beginning of the 4th century after the demise of the Buddha. At that time, there was no distinction between Great vehicle (*Mahāyāna*) and Lesser vehicle (*Hīnayāna*), because the *Mañjuśrī-paripṛccha Sūtra* mentions that the followers of all the 20 Schools, could eventually attain Arahantship. Later, the term “*Hīnayāna*” is used to refer to Early Buddhist schools by the Mahāyānist (Sheng, 1997: 167). Lesser Vehicle is applied to the *Śrāvakayāna* (vehicle of listeners), the Buddhist path followed by a *śrāvaka* who wishes to become an Arahant. In contrast, the Mahāyānists who wish to become a Buddha followed the Bodhisattva path. In 1950 the World Fellowship of Buddhists declared that the term “*Hīnayāna*” should not be used when referring to any form of Buddhism existing today. According to Williams (2005), the deep-rooted misconception concerning an unfailing, ubiquitous fierce criticism of the Lesser Vehicle by the Mahāyāna is not supported by their texts. He states that while evidence of

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conflict is present in some cases, there is also substantial evidence demonstrating peaceful coexistence between the two traditions (Williams, 2005: 43).

The pilgrim I-Tsing (671-695 A.C.) states that those practitioners who worshipped the Bodhisattvas and read the Mahāyāna Sūtras were called the Mahāyānists, but those who did not do so were called the Hīnayānists. According to I-Tsing, there are two Buddha systems: one is the Mādhyamika (of Nāgārjuna) and the other is Yogācāra (of Asaṅga). The Mādhyamika professes that what is commonly called existence is in reality non-existence, and every object is but an empty show, like an illusion. In contrast, the Yogācāra affirms that there exist no external things in reality, in inward thoughts, and all things exist only in the mind. He attempts to harmonize the two extreme vehicles (*yānas*), pointing out some facts common to both, such as adoption of the same discipline (*vinaya*) and the same prohibitions of the five groups of offences (*skandhas*) and also practice of the Four Noble Truths.²

The *Kathāvatthu* mentions the proposition of the *Mahā-suññavādin* or *Vetulyakas* showing the relation with the Mahāyāna. The philosophy of Mādhyamika is called Emptiness (*śūnyatā*) and some of the early Mahāyāna scriptures are called *Vetulya*.³ Some of the early Indian Buddhist Schools, after coming into contact with Mahāyāna Buddhism, adopted its customs, or at all events, studied its system along with their own. For instance, the *Mahābodhi-vihara* in Gayā, adheres to the Sthaviravāda School, yet it also belongs to the Mahāyāna; the surrounding circumstances may have occasioned like this (Takakusu, 1982). The Mahāsaṃghika and its subdivisions in South India and Sthaviravāda's subdivision of Sarvāstivāda in Northwest India were more liberal. They emphasized on preaching the dharma to the laity; therefore, the dharma was again flourishing, which was called Mahāyāna Buddhism. The Mahāsaṃghikas gradually synthesized with the Mahāyāna. The Sarvāstivāda of Northwest India also had also produced many great Mahāyāna masters (Sheng, 1997: 168).

² I-Tsing. tr. Junjiro Takakusu (1982) 2nd ed. *A Record of Buddhist Religion: As Practised in India and the Malay Archipelago (A.D. 671-695)*, New Delhi, Munshiram Manoharlal Publishers Pvt. Ltd: 14-15.

³ *Kathāvatthu*, (2010). tr. Shew Zan Aung and Caroline Augusta Foley Rhys Davids, *Points of Controversy*, Bristol: The Palu Text Society. xxvi.

According to Ven. Yin Shun (1978), Indian Mahāyāna Buddhism is divided into three trends: (1) the early period of Ven. Nāgārjuna “Characteristic emptiness of provisional name concept” - established the Mādhyamika ; (2) the middle period of Ven. Asaṅga “Illusory nature of consciousness” - established the Yogācāra; and (3) the latest stage “True consistency of mind concept”.⁴

Early Indian Buddhist Schools were derived from the principal teachings of the Buddha. Later, early Mahāyāna Buddhism emerged in about the first or second century A.C. Mahāyāna Buddhism continued the philosophy of early Indian Buddhist Schools and they developed and revived the fundamental spirit of the Buddha’s teaching. Mahāyāna maintains the principal teachings of the Buddha (Three Characteristics of existence) to emphasize the aim of salvation of all sentient beings – the concept of the path of Bodhisattva came to be based on Bodhicitta (Sheng, 1997: 180).

The Influence of the Philosophy of Nikāya Buddhism on Mādhyamika

The influence of the *Prajñāpāramitā Sūtra* (Perfection of Wisdom) on Mādhyamika developed the foundation of the dependent origination and intrinsic nature (*svabhāva*) philosophy of Mādhyamika. It can be referred to as the Middle way because it does not hold any extreme views, especially those of externalism or annihilationism. This School of philosophy and its subdivisions are called "*Madhyamaka*"; those who follow it are called "*Mādhyamikas*". However, a member of the Sanskrit Commission set up by the Indian government in 1959, advocated the use of "*Madhyamika* " on all occasions.

The philosophy of *prajñāpāramitā* implicitly contains the roots leading to the emergence of Mahāyāna Buddhism. The *prajñāpāramitā* derived the concept from Mahāsamghika “Present has the substance”, and from this is derived the basic concept of dependent origination. According to the *Kathāvatthu* 19.2, the Andhaka School suggests that “emptiness” is included in the aggregates of mental co-efficient, which is the theory that shows the relationship between dependent origination and emptiness.⁵ The *Mūlamadhyamakakārikā* (MMK), *Fundamental Verses on the Middle Way* of Mādhyamika School, is the best known work of master Nāgārjuna.

⁴ Ven. Yin Shun. (1978) *印度之佛教* Indian Buddhism:1-12.
http://yinshun-edu.org.tw/Master_yinshun/y33_01.

⁵ (See *Kathāvatthu*: The void is absence of soul, which is salient feature of the five aggregates -mind and body - Nibbāna itself. p.335); (See Sheng Yan: 187).

According to Kalupahana (1994), *MMK* is not only a grand commentary on the Buddha's discourse to Kaccāyana,⁶ the only discourse cited by name, but also a detailed and careful analysis of most of the important discourses included in the *Nikāyas* and the *Āgamas*, especially those of the *Aṭṭhakavagga* of the *Sutta-nipāta*.

Ven. Thanissaro Bhikkhu quotes some of the Theravāda Suttas from the *Nikāyas* showing the three perspective approach of emptiness. (1) As a meditative dwelling, *Cūlasuññata Sutta* M.N 121, the Buddha says that by not attending to any themes and by releasing all mental fermentation, the Buddha enters and remains in internal emptiness, liberation of the void (*Suññatā-vihāra*).⁷ (2) As an attribute of objects, *Kaccānagotta Sutta* S.N 12.15 points out, when one no longer latches into any idea of "self", one sees phenomena within and without simply as examples of stress arising and passing away. The right discernment on existence and non-existence is related to the origination and cessation of the world.⁸ (3) As a type of awareness-release, *Āneñjasappāya Sutta* M.N 106 further adds that if one frequently abides in the emptiness awareness-release, one may either attain the dimension of nothingness – one of the formless states – or be committed to the discernment that will lead to Awakening. It is important to remember that in the course of practice, all three meanings are related and all will inevitably play a role in awakening.⁹

Ven. Nāgārjuna wrote the *MMK* to view the five aggregates (*pañca-skandhāḥ*) and eighteen elements, showing the relationship between Dependent origination and the Middle Path. With that dependent origination is the characteristic and emptiness could be found through the observing four noble truths. This means impermanence and non-self of causal conditions (*hetu pratyaya*). We can trace this theory the *Samyukta-Āgama*. The Buddha said, “The noble disciples viewed form (*rūpa*) as non-self, as not distinct from the self, non-existence of appearance, which is called the real contemplation of reality. Likewise, sensation (*vedanā*),

⁶ *Samyutta Nikāya*, (2000). tr. Bhikkhu Bodhi. 12.15 *Kaccānagotta Sutta*:(on Right View), Boston: Wisdom Publication: 544.

⁷ M.N 121 *Cūlasuññata Sutta*, translated from the Pāli by Thanissaro Bhikkhu. Access to Insight (Legacy Edition), (30th Nov 2013), <http://www.accesstoinsight.org/tipitaka/mn/mn>.

⁸ S.N 12.15. *Kaccānagotta Sutta*: 544.

⁹ M.N 106 *Āneñjasappāya Sutta*: 870-871.

perception (*saṃjñā*), mental formations (*saṃskāra*), and consciousness (*viññāna*) show emptiness.” It is the meaning of middle path.¹⁰

The content of *MMK* shows its relationship to the principal teachings of early Buddhism such as the Four Noble Truths, five aggregates, elements, etc. The concepts in the content of *MMK* are related to the notions of early Indian Buddhist Schools.

The Content of the *MMK* text of Mādhyamika

1. *Pratyayaparīkṣā*: Analysis of conditions
2. *Gatāgataparīkṣā*: Analysis of going and not going
3. *Caḥsurādīndriyaparīkṣā*: Analysis of the eye and the other sense-organs
4. *Skandhaparīkṣā*: Analysis of the aggregates
5. *Dhātuparīkṣā*: Analysis of the *dhatūs* (constituents)
6. *Rāgaraktaparīkṣā*: Analysis of passion and the impassioned
7. *Samṣkṛtaparīkṣā*: Analysis of the conditioned
8. *Karmakāraparīkṣā*: Analysis of action and actor
9. *Pūrvaparīkṣā*: Analysis of the past
10. *Agnīndhanaparīkṣā*: Analysis of fire and fuel
11. *Pūrvaparakoṭīparīkṣā*: Analysis of past and future limits
12. *Duḥkhoparīkṣā*: Analysis of suffering
13. *Samṣkāraparīkṣā*: Analysis of disposition
14. *Samṣargaparīkṣā*: Analysis of admixture
15. *Svabhāvaparīkṣā*: Analysis of being or essence
16. *Bandhanamokṣaparīkṣā*: Analysis of bondage and liberation
17. *Karmaphalaparīkṣā*: Analysis of action and its fruit
18. *Ātmaparīkṣā*: Analysis of the soul.
19. *Kālaparīkṣā*: Analysis of time
20. *Sāmagrīparīkṣā*: Analysis of holism
21. *Samḥavavibhavaparīkṣā*: Analysis of becoming and un-becoming
22. *Tathāgataparīkṣā*: Analysis of the *Tathāgata*
23. *Viparyāsaparīkṣā*: Analysis of Error
24. *Āryasatyaparīkṣā*: Analysis of the Noble Truths
25. *Nirvāṇaparīkṣā*: Analysis of *Nirvāṇa*
26. *Dvādaśāṅgaparīkṣā*: Analysis of the twelvefold chain (of dependent origination)

¹⁰ 中論》以觀五陰十八界法等法，以示緣起中道。例如以觀四諦而成立之緣起「性空」（沒有自體性）《雜阿含經》：「多聞聖弟子於色見非我，不異我、不相在，是名如實正觀；受、想、行、識亦復如是。」之中道義也。 Saṃyukta-Āgama, Taisho Tripitaka, (2), No. 99: 0015a04.

27. *Drṣṭiparīkṣā*: Analysis of views.¹¹

Verse15:10 explains *Svabhāvaparīkṣā*: analysis of being or essence

अस्तीति शाश्वतग्राहो नास्तीत्युच्चेददर्शनं

astīti śāśvatagrāho nāstītyuccedadarśanam

To say "it is" is to grasp for permanence. To say "it is not" is to adopt the view of nihilism.

तस्माद् अस्तित्वनास्तित्वे नाश्रीयेत विचक्षणः।

tasmād astitvanāstitve nāśrīyeta vicakṣaṇaḥ

Therefore, a wise person does not say "exists" or "does not exist" (Garfield, 1995: 40).

As Kalupahana (1992:120) says, Master Nāgārjuna applied the Buddha's theory of "dependent arising" (*pratītya-samutpada*), and demonstrated the futility of metaphysical speculations. His method of dealing with such metaphysics is referred to as "middle way" (*madhyama pratipad*) to avoid the substantialism of the Sarvāstivādins as well as the nominalism of the Sautrāntikas. In *MMK*, Nāgārjuna insisted that all experienced phenomena are empty. This did not mean that they are not experienced... only that they are devoid of a permanent and eternal substance (*svabhāva*). Since they are experienced, they are mere names (*prajñāpti*) (Kalupahana, 1992:120).

According to Nakamura (2002), the Sarvāstivāda focuses on the center of all the Abhidharma of early Buddhist schools in various investigations and considerations. *MMK* has the aim of refuting these doctrines, such as the metaphysical entity called dharma, postulation of 5 parts of 75 dharmas, existence of dharmas in all three times and that the dharma entity is eternal etc. The concept of eternal existence view is the opposite view of annihilation or nihilistic view which overlaps with the execution and it has a contradictory point that departs from the true meaning of the teaching of the Buddha.

¹¹ The Thesaurus Literaturae Buddhicae (Buddhist literature sentence by sentence in Sanskrit, Pāli, Chinese, Tibetan, English, etc. University of Oslo. (10th Oct 2014). <https://www2.hf.uio.no/polyglotta/index.php?page=library&bid>.

The Philosophy of Nikāya Buddhism related to *śūnyatā* of Mādhyamika

Some scholars believe that the following concepts of early Buddhist schools influence the concept of emptiness (*śūnyatā*) of master Nāgārjuna. We assume that these concepts provide a link to further development that – all phenomena of existence are characterized by emptiness (*sarvadharmāḥ śūnyatālakṣaṇā*, 无相皆空论) – concept of Nāgārjuna.

1. The concept of root consciousness (*mūlavijñāna*) of Mahāsaṃghika (生起六識的根本識): It is the original consciousness or the root consciousness. The root consciousness causes the emergence of the six consciousnesses. (*ṣaḍ-vijñānāni*).
2. The Mahāsaṃghika's concept of Temporary nature of material dharma: The present has substantial form, but the past and the future do not have substances. They believe at this moment (*kṣaṇika*) of the present, is authentic existence, but as for the past it has already gone by and the future has not come into being yet (Sheng, 1997, 208:124-5).
3. The Lokottaravāda's concept of the supermundane: According to Tan Kuang, they believe that since the mundane phenomena are distorted; therefore, there are unreal. In contrast, the supermundane dharmas are real.¹² The supermundane dharma is attained through the Four Noble Truths, by following the path; eventually, one can achieve the fruition, which is also called Nibbāna.
4. The Ekavyavahārika's concept of unreal or illusory dharma: All phenomena have no substance or are illusions. They believed all dharma is illusion, without real substance. It is unreal dharma. Some of the modern scholars consider this sect has some similarities with the theory of *Mahāprajñāpāramitā*, “signless or non-appearance (*animitta*) are all empty phenomena” and the theory advocated by Nāgārjuna “All the phenomena of thusness dharma” (*sarva-dharma-tathatā*, 诸法实相论).¹³
5. The Bahuśrūtiya's concept of the supermundane: The five sounds are supermundane because it leads to emancipation; the others are mundane dharma (*laukikaśāsana*). The teaching of the Buddha on the following five themes or five sounds is supermundane teaching (*lokottara-sāsana*) because these five lead to the path of emancipation (*nihsaraṇamārga*):

¹² Mañjuśrī-paripṛccha Sūtra, T14. No. 0468:0501. b05. (See Pachow: 285).

¹³ Pachow, W. (1992). tr. A Study of the Twenty-Two Dialogues on Mahayana Buddhism (of Tan Kuang), Taiwan: Tungchu Publishing CO. Taipei: 285. (See Sheng Yan: 113-114).

- (1) transitoriness (*anityata*), (2) suffering (*duḥkha*), (3) void (*śūnya*), (4) non-ego (*anātman*), (5) *Nirvāṇa*, the quietude (*śānta*).¹⁴
6. The Prajñāptivāda's concept of unreality: Both Mundane and supramundane dharmas have certain amount of unreality. If one side of phenomena is real, the other side is unreal (Pachow, 1992:287).
 7. The Sarvāstivāda's concept of *śūnyatā* (voidness) and non-desire (*apraṇihita*). Man can enter the *samyaktva-niyāma* (the first stage of *darśana-mārga*) through (practicing) the two (kinds of) meditation (*samādhi*), voidness (*śūnyatā*) and non-desire (*apraṇihita*); one gets into the *samyaktva-niyāma* through meditation on desire (*kāma-loka*) (in four different ways).
 8. The Theravāda's concept of three kinds of meditation: on emptiness (*suññatā*), signless (*animitta*) and desireless (*appaṇihita*).¹⁵

The above phenomenological concepts of Nikāya Buddhism show the diverse interpretations which are related to the mundane and supermundane dharma. It is assumed that these concepts might have influenced Ven. Nāgārjuna to advocate the concept of Mādhyamika.

The Philosophy of Dependent Origination (*śūnyatā*) of Mādhyamika

According to Mādhyamik, all phenomena (*dharmas*) are empty (*śūnyatā*) of "nature", a "substance" (*svabhāva*) which gives them solid and independent existence, because they are dependently co-arisen. This "emptiness" itself is also "empty": it does not have an existence on its own, nor does it refer to a transcendental reality beyond or above phenomenal reality (Garfield, 1994).

Ven. Nāgārjuna may have arrived at his positions from a desire to achieve a consistent exegesis of the Buddha's doctrine as recorded in the *Āgamas*. He considers that the Buddha was not only a forerunner, but also the founder of the Mādhyamika system (Lindtner, 1997:324). Kalupahana (2005) sees Nāgārjuna as a successor to Moggaliputta-tissa in being a champion of the middle-way and a reviver of the original philosophical ideals of the Buddha.

¹⁴ Vasumitra's Treatise of Hsuan Chwang, (1925) tr. Jiryo Masuda, Origin and Doctrines of Early Indian Buddhist Schools: 35-36.

¹⁵ D.N Sangīti Sutta: 486.

The Eight Negatives

The principal philosophy of Nāgārjuna is in the 8 negatives and with the Two Truths. After the comprehension of these concepts, those who are interested can study the “analysis of action and its fruition” till the “analysis of wrong view” of *MMK*.

Master Nāgārjuna describes the dependent origination (*pratītya-samutpāda*) by means of 8 negatives which are presented by four groups of eight negatives:

- (1) neither origination nor cessation,
- (2) neither permanence nor impermanence,
- (3) neither unity nor diversity, and,
- (4) neither coming in nor going out, which is the law of dependent origination.

The expounding of the 8 negatives concept was based on the nature of dependent origination of dharma, which is emptiness. Emptiness means middle path which has no hindrances and does not even have emptiness. In brief, the middle path for the non-acceptance of the two views is related to the concept of neither reality nor non-reality of the world, but only relatively. In common, people observe the conditions of the world and apply it to concepts of origination and cessation, permanence and impermanence, unity and diversity, coming and going. They use these as fixed concepts; therefore, the ordinary person has the concept of self-persistence, likewise the heretical practitioners' view as permanence or impermanence. However, from the perspective of dependent origination in observing the reality of the world, there is neither origination nor cessation, neither permanence nor impermanence. Consequently, it cannot be said that there is unity or diversity, or coming or going (Sheng, 1997).

Dependent Origination and Non-origination (*anutpāda*)

Throughout the *MMK*, Nāgārjuna emphasizes the notion of dependent origination (*pratītya-samutpāda*) by observing the fact that things originate in dependence on causes (*hetu*) and conditions (*pratyaya*). *MMK* 24-18 verse states: “What dependent origination is, that we call emptiness (*śūnyatā*).” That is dependent designation (*prajñaptir upādāya*); precisely that is the middle way.” It is commonly known that Dependent origination is a fundamental doctrine in early Buddhism. For instance, in the *Mahānidāna Sutta* of the D.N, the Buddha says, “Ānanda, this dependent origination is profound and looks profound.” Similarly, *Mahāhatthinpadopama Sutta* of M.N 28 mentions, “He who sees

dependent origination sees the dharma; he who sees the dharma sees dependent origination.”

Master Nāgārjuna not only mentions dependent origination but also mentions non-origination (*anutpāda*). He states that dependent origination is characterized by non-origination. According to William (2005), this seems to be a flat contradiction. If so, it is a contradiction which Nāgārjuna as a Mahāyānist cannot escape, for the Mahāyāna Sūtras, especially those of the *prajñāpāramitā* class are full of references to the non-origination of all dharmas (William, 2005). In Buddhism the term “*anutpāda*” refers to the absence of an origin (*śūnyatā*). Besides, it also means that the dharmas, the constituting elements of reality, do not come into existence (King, 1995).

Ven. Buddhapālita deals with the apparent contradiction between dependent origination and non-origination. He mentions that the supremely profound ultimate truth (*paramārtha-satya*) is called “dependent origination”, “One who sees the unreal is bound; one who sees the real is liberated.” A thing that has originated in dependence on causes and conditions implies that it has no intrinsic nature (*svabhāva*). As its existence depends on things other than itself, it is nothing in itself when it is considered in isolation from everything else.

The Two Truths

MMK deals with two kinds of truth: conventional (*saṃvṛti-satya*) and transcendental or ultimate truth (*paramārtha-satya*). The former refers to ignorance or delusion which envelops reality and gives a false impression, while the latter is the realization that worldly things are non-existent like an illusion. Viewing from the relative standpoint (*saṃvṛti*), dependent origination explains worldly phenomena, but looked from the absolute standpoint (*paramārtha*); it means non-origination (*anutpāda*) at all times and is equated with *śūnyatā* or *Nirvāṇa* (Bapat, 1996).

The Two Truths explains that dependent origination dharma is void. It is just as a false name for the purposes of convenience. The meaning of the Middle path is based on the dependent origination of “ultimate truth” to penetrate the truth of the world which is empty and no self-nature can be obtained. In contrast, conventional truth is still dependent origination of

existence.¹⁶ Buddhapālita states that the reality of things is the absence of essence.¹⁷

According to Nakamura (2004:255), the Buddhist term “*paramārthasatya*” ultimate truth is identified with *anutpāda* (non-origination). The term “*paramārtha*” is a synonym for *tattva*, *tathatā*, *śūnyatā*, *animitta*, *bhūtakoti*, and *dharmadhātu*. If one understands emptiness (*śūnyatā*), non-origination and dependent arising, he or she could realize the ultimate truth and gain *Nirvāṇa*, liberation.

The Genealogy of Mādhyamika School

The Early period of Mādhyamika’s philosophy was established by master Nāgārjuna and Āryadeva. Ven. Nāgārjuna (150 - 250 A.C.) is the founder of the Mādhyamika . The master Nāgārjuna, born in Vidarbha (Berar), was ordained at Nālanda and learnt under Sāraha Brahmin and Rahulabhadra. He obtained the One Hundred thousand of *prajñāpāramitākārikā* from the dragon (*Nāgā*) region. It is said that master Nāgārjuna was influenced by the Andhaka School; he was ordained in the Sarvāstivāda School. Because of the influence of the *prajñāpāramitā* and *Avataṃsaka Sūtra*, he preached the Mahāyāna.

The philosophy of Nāgārjuna was derived from the early Mahāyāna’s *prajñā* concept and further developed. He considers that all the worldly things as nature of void (ultimate truth) existed as the provisional name (conventional truth).¹⁸ Nāgārjuna wrote many Buddhist texts, and the most significant are:

1. *Mūlamadhyamakakārikā* (MMK) which describes the profound concept of the dependent origination nature of emptiness which indicates salvation from *saṃsāra*. It is the same way of three vehicles: *Śrāvakayāna* (vehicle of listeners), *Pratyeka-Buddha*, *Bodhisattavayāna*.

¹⁶ Sheng Yan. 龍樹的學說，源自早期大乘佛教般若思想的進一發展，認為世間一切性空（第一義諦、勝義空，只有假名存在） pp. 216-217.

¹⁷ Tsong Khapa. (2002). The great treatise on the stages of the path to enlightenment: Volume 3, Ithaca, N.Y: Snow Lion Publications. ISBN 1-55939-166-9. (Buddhapālita-mūla-madhyamaka-vṛtti , p.5242,73.5.6-74.1.2).

¹⁸ Bu-ston. (1986). tr. Dr. E. Obermiller. *The History of Buddhism in India and Tibet*, Delhi: Sri Sarguru Publications. pp. 122-125 (See Sheng Yan. pp. 210-211).

2. *Mahāprajñāpāramitā Śāstra*, “The Great Treatise on the Perfection of Wisdom”, is based on the middle path showing the unique nature of wisdom (*prajñā*).
3. *Daśabhūmika-Vibhāsā-Śāstra* (十住毗婆沙論) “Ten stages of *Vibhāsā-Śāstra*” applies the profound view to explain the great actions (practice) of Bodhisattva.

Master Āryadeva (170 - 270 A.C.) was one of the most diligent disciples of Nāgārjuna. According to Bu-ston (1986), master Āryadeva was a Sinhalese, who studied from Nāgārjuna and taught in Nālanda University. He wrote some texts aiming at refuting the doctrines of other heterodox practitioners and early Buddhist schools. Later, the disciples inherited this doctrinal standpoint as a guarding principle to form the Madhyamika School. During the early period of the Mādhyamika, the emptiness theory had declined for sometime after the passing away of the great masters Nāgārjuna and Āryadeva. The middle period of Mādhyamika theory again was revealing and prosperous. In c.320-400 A.C. Saṃgharaksita, the disciple of Nāgamitra, propagated the theory of Nāgārjuna, but only preached the *Mādhyamika Śāstra* and the *Śataka-śāstra* (Hundred treatises). Later, the three scholars Buddhapālita, Bhāvaviveka and Vimuktisena came to South India to learn under the master Saṃgharaksita. Buddhapālita and Bhāvaviveka (Bhavya) were prominent and they set up a new sect respectively, even though both of them believed in the emptiness concept of Nāgārjuna. During Nāgārjuna’s time, it still had not set up any name for the Mādhyamika School.

Against the concept of Nāgārjuna’s original meaning of three non-natures, Buddhapālita and Bhāvaviveka advocated the debate method. Buddhapālita’s method of debate was from the opponent’s concept of discourse to find out its contradictory character. It criticizes the opponent’s self-contradictory concept in different ways in order to prove that the theory would not be tenable and thus was able to defeat the heretical views. It represented the authentic concept but it did not mention what his avocation was; therefore, this sect is called “Prāsaṅgikāḥ”. As for Ven. Bhavya set up his own theory to refute the opponent; therefore, it is called “Svātantrika” style.

The late period of Mādhyamika, the Svātantrika is divided into two schools:

1. Svātantrika Mādhyamika style, to which the master and Jñānagarbha belong;

2. Yogācāra Mādhyamika Svātantrika style, to which the master Śāntarakṣita, Kamalaśīla, Vimuktisena, Haribhadra and Buddhaśrījñāna belong.

They propagated the practical theory of Yogācāra and in their treatises had a colorful style of Buddhism and are connected with various kinds of external philosophies as well as a result, this method is very creative and active. Later, both Śāntarakṣita (700-760 A.C.) and Kamalaśīla (730-800 A.C.) propagated Buddhism in Tibet very successfully (Sheng, 1997: 219-223). Moreover, (about 6th and 7th century A.C) the Tian Tai School (天台宗) of China accepted the Middle Path philosophy of Nāgārjuna and considered him as the first patriarch. The Sanron School (三論宗) in China (Jizang) and Japan are mainly based on the three treatises: *MMK*, *Śatakaśāstra*'s Aryadeva and *Twelve Doors Treatise*; therefore, it is called "Three Treatises School (Sanron)" (Fang, 2001: 140,147).

The Influence of Philosophy of Nikāya Buddhism on Yogācāra

The Yogācāra is the second trend of Indian Mahāyāna Buddhism emerging around the 4th century A.C.¹⁹ The Yogācāra can be referred to those who practice the yoga (method or exertion). It is also called "*Vijñāna-vāda*". Yogācāra, an influential school of Buddhist philosophy, relates everything to be the mind. The discourse of Yogācāra explains how human experience is constructed by mind.

It attaches to the religious practices as an important method for attaining final emancipation from the bondage of the phenomenal world. The masters Maitreya, Asaṅga and Vasubandhu developed the fundamental philosophy of Yogācāra. The orientation of the Yogācāra School is largely consistent with the concepts of the *Pāli Nikāyas*. It always deals with the later developments in a way that repositions them with earlier version of Buddhist doctrines. One of the aims of this school was to change the direction of the complicated assumptions of later refinements in Buddhist philosophy to harmonize with early Buddhist doctrines.

According to Keenan (2000), the Yogācāra masters borrowed the mystical approach of the *Prajñāpāramitā* texts. However, they did not reject the authenticity of theoretical Abhidharma. Preferably they attempted to

¹⁹ Zim, Robert. (1995). Basic ideas of Yogācāra Buddhism. San Francisco State University. Source: (accessed: October 18, 2007). The term 'vijñāna' means consciousness or discernment and 'vāda' means doctrine or theory.

establish a critical understanding of the consciousness that underlies all meaning, both mystical and theoretical. The doctrine was projected as focusing on the practice of yoga, rather than as a conceptual comprehension.²⁰

Some of the Early Indian Buddhist schools have mentioned the concept of consciousness which is related to Yogācāra. Some of them are:

1. The original or root consciousness (*mūlavijñāna*) concept of the Mahāsaṃghika: The root consciousness causes the emergence of the six consciousnesses (*ṣaḍ-vijñānāni*).
2. The mind permeates (penetrates) the whole body according to the Mahāsaṃghika: The commentary of *Vasumitra* states that the subtle mind consciousness (*manovijñāna*) permeates the body in which it depends and abides (in it). Therefore we know that the subtle mind-consciousness is everywhere present in the body (*Vasumitra's* treatise, 1925: 34, 68).
3. Revolution of the seeds (*ālaya-vijñāna-paravṛtti* or *bīja-paravṛtti*) seeds' of defilements (*samklesika-dharma-bīja*) are concepts found in Theravāda.
4. According to the Mahīśāsaka School, the aggregate of consciousness is exhausted with the concomitants of reincarnation, and then only become freed from transmigration (*穷生死蕴*) (Sheng, 1997: 119).
5. The concept of interaction of *Saṅkrāntivāda* (transmigration) of Sautrāntika: The aggregates (*skandhas*) transmigrate from one world to the other, (lit. from the former world to the latter).
6. There are *mūlāntikaskandhas* (*antika* or *root*) and also the *ekaraskandhas* (One taste of aggregate is the subtle consciousness.), the concept of Sautrāntika.
7. The Sautrāntika believed that “seed consciousnesses” plants a seed in one's consciousness.

Matter or form (*rūpa*) and mind (*citta*) are interaction of seed, subtle and unity of consciousness, which advocate the karma transmigration; therefore, it has the seed which continuously retribution of three stages. Human beings due to the karma, in the mind consciousness, plant the seed, and then the seed is transmitted to the future. This seed consciousness theory is quite similar to the *ālaya-vijñāna* of the Yogācāra. It is possible

²⁰ Keenan, John P. (2000). tr. (*Samdhinirmocana*) The Scripture on the Explication of the Underlying Meaning, Berkeley: CA, Numata Center for Buddhist Translation and Research: 1.

that Sautrāntika borrowed its doctrine of subtle mind consciousness (*manovijñāna*) from the Mahāsaṃghikas and lent it to the Yogācāra School. Later, this seed consciousness dharma is further developed by the Yogācāra.²¹

Some of these concepts have already been mentioned as the foundation of the 7th consciousness and seed consciousness of Yogācāra (Sheng, 1997: 208). The above psychological concepts of Nikāya Buddhism indicate that at that time, they wanted to identify the mind process and the teaching function of transmigration. We postulated that the concepts of Nikāya Buddhism had influenced the concept of Yogācāra.

The Philosophy of *Vijñāna* (consciousness) of Yogācāra

According to Muller (2005), Yogācāra uses various concepts in providing this explanation of (experience): representation-only, the eight consciousnesses, the three natures, and emptiness. Yogācāra has a vast and complex system, all of these different approaches and categories are ultimately tied to each other, and thus starting with any one of them, one can eventually enter all of the rest.

The *Mahāparinirvāṇa Sūtra* and *Śrīmālādevī-siṃhanāda Sūtra* had mentioned the *Tathāgata-garbha* related to the nature of Buddha and *Dharmakāya*, emergence of which the result is adaption of the ultimate way. However, based on this standpoint, it attempts to describe the complicated and polluted world; even the *Prajñāpāramitā Sūtra*, *Avataṃsaka Sūtra*, *Vimalakīrti-Nirdeśa Sūtra* mention that everything of the three realms is due to the arising of the mind and that ignorance appears creating the illusory world whereas due to the purification of the mind, the pure land appears. Nevertheless, this description is too simple; thus, a further explanation is given in one of the most important texts of Yogācāra the *Samdhinirmocana Sūtra (SNM)*. It asserts the dwelling state of ignorance and acknowledges the sub-consciousness of basic ignorance whereas pure consciousness acknowledges the Buddha nature and *Tathāgata-garbha*.²²

“What is the relationship between ignorance and pure consciousness?” How does it coordinate and establish different kinds of worlds? It is plausible to reach the conclusion that the Yogācāra School was developed

²¹ Vasumitra’s Treatise, Saṅkrāntivāda or transmigration teaching, maintains the transference of the aggregates: 66-68.

²² Sheng Yan. p. 230. *Samdhinirmocana Sūtra (The Explanation of the Profound Mystery Sūtra)*, c. 2nd century A.C.

later. It is believed that this Sūtra stands as the pioneer of the Yogācāra. The important concepts of *SNM* are as follows:

1. *Ālaya-vijñāna* (consciousness) concept: at the time of Nāgārjuna, the concept of 7th consciousness had not been formed. Later, *SNM* admits that the 6th inner consciousness has the Buddha nature an idea which was used to modify the doctrine of reality. Therefore, *SNM* specifies the concept of the – *Ālayavijñāna*’ or *Adānāvijñāna* – which refers to the transmigration of human beings but it did not distinguish its name as eighth or seventh consciousnesses.²³
2. Three natures of appearances (*Trilakṣaṇa*) and the three absences of natures. *Trilakṣaṇa* is established because of the adaption of *ālayavijñāna*. The Yogācārins defined three natural perceptions by which we perceive our world:
 - 1) Fully conceptualized appearance (*parikalpita*, 遍計所執相): herein things are incorrectly comprehended based on conceptual construction, through attachment and erroneous discrimination.
 - 2) Other dependent appearance: (*paratantra*, 依他起相): the real form of phenomenal existence free from verbal expression; the world of dependent origination (the form arising under certain conditions).
 - 3) Fully accomplished appearance: (*pariniṣpanna*, 圓成實相) all the dharma is equal as suchness of existences or true nature (*bhūta-tathatā*) of real appearance. It means that one comprehends things as they are in themselves, uninfluenced by any conceptualization at all (Sheng, 1997: 232).

Three natures have their corresponding three absences of natures (*triniḥsvabhāva*, 三無自性) and they are not separated from the mind:

- 1) Absence of inherent characteristic: to view all the appearance is the mind idea which does not have the special self-nature existence.
- 2) Absence of inherent arising: The main principal of cause and effect is related to the mind; thus, it does not have the special self-nature.

²³ Sheng Yan. pp. 230-231. *SNM* was translated by Bodhiliuzhi in five volumes; pilgrim Xuan Zang also translated it in five volumes. It includes some significant theories and as the original sources of Yogācāra it has many characteristics.

- 3) Absence of inherent ultimacy: full accomplishment is due to the pure mind effect separated from the mind and without the self-nature and without itself-nature.

Each of these "absences" is a form of emptiness. Yogācāra values the special significance of the *Cūlasuññata Sutta or Lesser Discourse on Emptiness* of the Theravāda. It is often quoted in later Yogācāra texts as a true definition of emptiness.

3. *SNM* mentions the preaching of the Bodhisattva: all dharma are without self-nature which is neither origination nor cessation, but it is still an implicit discourse (*neya-artha*). It states that the three nature and three non-natures only had started the way to the explicit discourse (*nīta-artha*) (Sheng, 1997: 232). *SNM* promises to expound a teaching that is completely explicit and requires no interpretation for understanding.

Another important text of Yogācāra the *Lankāvatāra Sūtra* (4th century A.C.), of which the Sanskrit version still exists and the Chinese version has three different translations. The main concept of this *Sūtra* explains the five Dharmas, three self-natures, 8th consciousness, and two self-natures. It always applies these main concepts to illustrate life, universal phenomena and ontology. This *Sūtra* appeared during the time the trend of the 'Buddha nature' (*Tathāgata-garbha*) concept was prominent.²⁴

1. The Five Dharmas: This concept is also mentioned in *Yogācāra Śāstra*, *Yogācāra Bhūmi Śāstra*, and *Buddha Nature Śāstra*. The Five Dharmas are:

- 1) Name, the name of the object;
- 2) appearance, the name and appearance give the judgment;
- 3) distinguished, from the name and appearance gives the judgment;
- 4) authentic wisdom, it can be seen through the name and appearance which are not the reality; and
- 5) True-nature (*Bhūta-tathatā*, 如如), it is similar to wisdom.

These Five Dharmas enumerate that the perplexing world of differentiation and objective (name and appearance) through realizing the subjective (authentic wisdom) and objective (*Bhūta-tathatā*); can assist to break the perplex world which can enter into the comprehensive world process. (Suzuki, 1978:87-95; Sheng, 1997: 233).

²⁴ *Lankāvatāra Sūtra*, tr. D. T. Suzuki. (1978). Boulder, CO: Prajña Press.

2. The Three-nature dharma is same with the *Trilakṣaṇa* of *SNM*.

3. What are the 8 consciousnesses? They are: *Thathāgata-garbha*, *citta*, *cetanā*, *manas* consciousness and the five consciousnesses.²⁵

Laṅkāvatāra Sūtra applies the 7th consciousness in *SNM* as the 8th consciousness. The 8th consciousness set up the 7th consciousness, and was included into the early Buddhist concept of six consciousnesses. Appearing of the 8th consciousness activities depends on the 7th distinguishing consciousness. The 8th consciousness does not have the self-nature, everything just like the consciousness like the wave of the sea. A question was asked, “How to stop the consciousness of the sea wave?” The answer is given in the two non-self-natures (Sheng, 1997: 234).

4. Two non-self-natures: (1) the emptiness of self (人空) composed of dharmas: attachment of five aggregates and dependence on causes and conditions; (2) the emptiness of dharma (法空) dependent on cause and condition. The former is the attachment to words as having self-nature, and the latter is the attachment to objects as having self-nature. This text considers that the 8th consciousness has the characteristic of reality, karma, and transformation. Among these three the real ontology is the 8th consciousness of characteristic of reality. The first to the 8th consciousnesses refer to the characteristic of karma and transformation, and it can, through the power of practice of the above, extinguish it. If one has extinguished the concept of karma and transformation of appearance, at that moment, the consciousness of sea wave will stop. It can be called the Buddha nature, *Nirvāṇa* or *Dharmakāya*, which is neither origination nor cessation, pure and without a defilement stage.

This *Sūtra* reconciles the *Tathāgata-garbha* and *ālaya-vijñāna* concept and acknowledges that the 8th consciousness has purity, and impurity two sides or aspects (of mind). Impurity aspect responds to distinguishing and illusory phenomena whereas purity aspect establishes the *Bhūta-tathatā* as being equal to the real world. This is quite similar to the concept of one mind in two aspects which is mentioned in “*Mahāyāna Śraddhotapāda Śāstra*”.²⁶

²⁵ 伽阿跋多羅寶經卷4〈一切佛語心品〉：「大慧！善不善者，謂八識。何等為八？謂如來藏，名識藏。心、意、意識、及五識身，」 *Laṅkāvatāra Sūtra*, T16. No. 670: 512. a29.

²⁶ *Laṅkāvatāra Sūtra*, T16, no. 670, p. 487. c20. 楞伽阿跋多羅寶經.卷1 “大慧！菩薩摩訶薩善觀二種無我相.云何二種無我相？謂人無我，及法無我.”

We can compare the texts of Theravāda related to the two non self-natures: in the *Alaggaddūpama Sutta* M.N.22, the Buddha makes a raft parable to show the non-attachment to self and dhamma. The raft is for the purpose of crossing over, but not for the purpose of holding to. In *Pabhassara Sutta* (Luminous) A.N 1.49-52 the mind is inherently luminous; the unwise person cannot even see that it is obscured by defilements.

Ālaya-vijñāna

Ālayavijñāna, the Buddhist term “*ālaya*” refers to abode, place, rooting, and attachment; the term “*vijñāna*” means consciousness. *Ālaya-vijñāna* also can be called store consciousness or 8th consciousness. It is generally believed that *ālaya-vijñāna* is purely a Mahāyāna doctrine but it is found in Lesser vehicle. However, in the *Mahāyānasangraha*, Ven. Asaṅga states that in the *Śrāvakayāna* (Hīnayāna) it is mentioned by synonyms (*pariyāya*) and refers to a passage in the *Ekottara Āgama*:

“People (*prajā*) like the *ālaya* (*ālayarata*), are fond of the *ālaya* (*ālayarāma*), are delighted in the *ālaya* (*ālayasammudita*), are attached to the *ālaya* (*ālayābhirata*)....”

According to Ven. Rahula (2013), the term “*ālaya*” in the same sense is found in several other places of the *Pāli Canon* (Theravāda). The *Pāli Commentaries* explain this term as “attachment to the five sense-pleasures”, and do not give a deeper explanation than that. This also is an aspect of the *ālayavijñāna*.

“*Ālaya-vijñāna* is one of the most important doctrines further developed by Ven. Asaṅga (310-390 A.C.) of the Yogācāra School. He divides the *vijñāna-skandhā* (aggregate) the fifth of the five *skandhas*, into three different aspects, namely, *citta*, *manas* and *vijñāna*. These three terms- *citta*, *manas*, and *vijñāna* - are also treated as synonyms by the Theravāda *Tiṭṭaka* and *Pāli Commentaries*, Sarvāstivāda, Laṅkāvatāra *Sūtra* and *Vimśatikāvijñapti-mātratāsiddhi* of Vasubandhu (Rahula, 2013: 131)”²⁷

Ven. Rahula (2013: 131) mentions that all the elements of the Yogācāra storehouse-consciousness are already found in the *Pāli Canon*. He points out that the three layers of the mind as presented by Asaṅga are also mentioned in the *Pāli Canon* as follows:

²⁷ Rahula, Walpola. *Ālaya-vijñāna* or store consciousness, (23-7-2001).

Http: www.budsas.org/ebud/ebdha195.htm.

1. “What is the definition of the aggregate of consciousness (*viññānaskandhā*)?” It is mind (*citta*), mental organ (*manas*) and also consciousness (*viññāna*).
2. “What is mind (*citta*)?” It is *ālaya-viññāna* (store-consciousness) containing all seeds (*sarvabījaka*), impregnated (pervade) with the traces (impressions) (*vāsanā-paribhāvita*) of aggregates (*skandha*), elements (*dhātu*) and spheres (*āyatana*).
3. “What is mental organ (*manas*)?” It is the object of *ālaya-viññāna* always having the nature of self-notion associated with four defilements, viz., the self-ignorance, self-view, self-conceit, and self-love. (Cf. *manas-viññāna* of Vasubandhu)
4. “What is consciousness (*viññāna*)?” It consists of the six types of consciousness (*ṣaḍ-viññāna-kāyā*), viz. visual consciousness (*cakṣurvijñāna*), auditory (*śrotra*), olfactory (*ghrāṇa*), gustatory (*jihvā*), tactile (*kāya*), and mental consciousness (*manovijñāna*).

As we can see consciousness (*viññāna*) represents the simple reaction or response of the sense-organs when they come into contact with external objects. This is the superficial aspect or layer of the aggregate of consciousness (*viññāna-skandhā*). *Manas-viññāna*, represents the aspect of its mental functioning, thinking, reasoning, conceiving ideas, etc. *Citta*, which is here called *ālaya-viññāna*, represents the deepest, finest and subtlest aspect or layer of the aggregate of consciousness. It contains all the traces or impressions of the past actions and all good and bad future potentialities. *SNM* also says that *ālaya-viññāna* is called *citta*.²⁸

The Master Asaṅga interprets five definitions of *ālayavijñāna*, according to the *Yogācāra Bhūmi Śāstra* as follows:

1. *Ālaya-viññāna* is dependent on two factors to act. The former, it distinguishes the internal persistent mind (internal body activities); the latter is the undistinguished external world.
2. *Ālaya-viññāna* is associated with the five universal mental factors (*caitasika*): attention (*manasikāra*, 作意), contact (*sparśa*, 觸), sensation (*vedanā*, 受), perception (*saṃjñā*, 想), and volition (*cetanā*, 思).
3. *Ālaya-viññāna* with all dharma have the mutual relationship of the cause and effect (因果). It is also called seed consciousness, the

²⁸ Ibid.

storing of seed, the seed of present behaviour, appears in all dharma; the so called seeds “appear in present behaviours”. This function uses as an instant moment of appearing; simultaneously it responds with the cause and effect; consequently, *ālaya-vijñāna* is the cause and all the dharma is the fruition. Beginning from the present behavior all dharma again influences the *ālaya-vijñāna*; therefore, it becomes the collection of seed, referred to as ‘present behaviors plant the seeds’. The function of present behavior stage is the cause, and the collection of seed is the effect. Nevertheless, the seed of *ālaya-vijñāna* can appear in any present behavior, and it also can associate with the other seeds in an instance continuously influencing one another, and then it appears again as a new seed, which is self-category continuity called “seed produces seed”.

4. *Ālaya-vijñāna* with all the consciousnesses rotates entirely, among other consciousness. Whenever it arises the first consciousness or the second consciousness activity, and simultaneously the internal of *ālaya-vijñāna* also follows them to rotate entirely.
5. *Ālaya-vijñāna* has two kinds of aspect as mixed impurity and fair cessation. In the cycle of rotating transmigration (*samsāra*), it is entirely mixed impurity whereas when attaining enlightenment, it depends on fair cessation. It applies the concept of *Thathāgata-garbha* (如来藏) (Sheng, 1997: 241-2).

The masters Maitreya and Asaṅga established the theory of Yogācāra that applied the seed as the root, and seed consciousness appears as the transformation. Later, the master Vasubandhu applied the three kinds of transformation as the root which transforms the consciousness. The master Asaṅga utilized the concept on the main stages division (*bahubhūmika*, 本地分) of *Yogācāra Bhūmi Śāstra*. In the theory of seed consciousness of non-unity or non-diversification, he applied non-diversification as the ground. The master Vasubandhu traced back to the same text, utilizing the second section compendium of exegesis (*vinīścaya*, 摄抉择分) and applied the seed consciousness of non-unity as the ground. Both concepts are in the same text of Yogācāra, but it divided itself into two great streams. The scholars of later period expounded the Yogācāra theory; they usually utilized the theory of Vasubandhu and considered him as the great master who had achieved a great collection of works. In contrast, the stream of Asaṅga’s theory had developed into a Buddhist school, the She Lun Zong School in China (摄论宗) (Sheng, 1997: 245-6).

The Three fold Transformations of Consciousness of Vashubandhu

The *Triṃśikā-Vijñapti-mātratā* clearly states the outline of the theory of Vashubandhu. According to the Yogācāra scholars the content of this text can be divided into three categories:

- 1) Characteristic of Consciousness; the first twenty four stanzas describe the phenomena of universal entity.
- 2) The Nature of Consciousness, the twenty-fifth stanza explains all the characteristics of entity based on ontology.
- 3) The Position of Consciousness; the last five stanzas describe the process of practicing in order to attain the different fruition stages. Among these, the first is the most important one which explains the 3 kinds of transformation characteristics as follows (Sheng, 1997: 252).

1. The Transformation of *ālaya-vijñāna* (阿賴耶識) - Retribution (*vipāka*)
Ālaya-vijñāna is referred to as retribution as well as all the seeds. Its grasping, locating and knowing are unperceivable.²⁹ The term “*vipāka*” means the cause and effect because of the different times and characteristic; therefore, it is said that retribution can be transformed. For example, the peach tree branch transplants with the apricot branch, in future the ripe fruit which has the different ripen times and the taste of fruit is neither peach nor is it of original apricot taste. *Ālaya-vijñāna* refers to the cycle of births and deaths of the human beings (*samsāra*), which can create all dharma. Therefore, it is called “all seed consciousness”, and through the *ālaya-vijñāna* the life realm is established and implement the mundane realm. This consciousness (*ālaya-vijñāna*) always associates with the five universal mental factors, viz., attention, contact, sensation, perception and volition. The mental factor influences the *ālaya-vijñāna* quality and all seed consciousness which contains the thought of origination and cessation, which transforms the conditions of origination and cessation.

It is just like the stream of a water fall, from the far view that looks like a bale of white clothes or a motionless hand on the mountain cliff, but if we

²⁹ Triṃśikā-Vijñapti-mātratā (Thirty Stanzas of Representative only). stanza no 2: Vipāko mananākhyāśca vijñaptir viśayasya ca tatrālayakhyām vijñānaṃ vipākaḥ sarvabījakam. (There are retribution, thought, and the perception of external objects. The first of these is the ālaya consciousness, which is retribution as well as all the seeds.) Technical Details Lapis Lazuli Texts. (See Taisho Tripitaka Vol. 31. No. 1586 唯識三十論頌. 異熟思量及了別境識).

look thoroughly, it consists of the twinkling locomotion of continuous transformation.³⁰ When the time from mental factor influences the *ālaya-vijñāna* (consciousness), it becomes the seed (cause); and when the time from the seed transforms the phenomena, it becomes its present behavior (fruition).

2. The Transformation of *manas-vijñāna* (末那識)

Because of consideration or speculation, the 7th consciousness (*manas-vijñāna*) always ponders consistently the *ālaya-vijñāna* to calculate and measure self and depends on the *ālaya-vijñāna*, condition of *ālaya-vijñāna* (consciousness), grasping it as self. Consequently, it is always associated with the four defilements viz., self-ignorance, self-view, self-conceit, and self-love.

3. The Transformation of distinguishing – six consciousnesses – *vijñapti viṣayasya*

The first five consciousnesses depend on the 6th consciousness (*mano-vijñāna*) as the main principle and each of the comprehension distinguishing arises a rough emergence of phenomena. The 6th consciousness unites the first five consciousnesses and associates with many mental factors. For example, in the daily life many kinds of mental activities appear, which come under this category. It is distinguished into six different divisions; appearance and nature perceive the external objects, and these may be wholesome, unwholesome or indeterminate.³¹ Comprehension of all the phenomena appears owing to the *ālaya-vijñāna* of circulating transformation of distinguishing and knowing there is no real existence; therefore, it is said that all the dharma is the emergence of consciousness (Sheng, 1997: 252-3).

According to traditional interpretation, Vasubandhu states that there are eight consciousnesses: the five sense-consciousnesses of body (*pañca-vijñāna-kāya*), 6th consciousness (*mano-vijñāna*), self-consciousness (*manas-vijñāna*), and the storehouse-consciousness (*ālaya-vijñāna*).³² According to Kalupahana (1992), this classification of eight consciousnesses is based on a misunderstanding of Vasubandhu's

³⁰ *Triṃśikā-Vijñapti-mātratā*, Vol. 31, No. 1586 Taisho Tripitaka (唯識三十論頌). (See Sheng Yan:252-.253).

³¹ *Triṃśikā-Vijñapti-mātratā*, 唯識三十論頌, Taisho Tripitaka (31) 1586. Stanza no 5-8. (See Sheng Yan: 252-253).

³² Kochumuttom, Thomas A. (1999). *A Buddhist Doctrine of Experience. A New Translation and Interpretation of the Works of Vasubandhu the Yogacarin*, Delhi: Motilal Banarsidass:.1-5.

Triṃśikaikā-kārikā by later adherents. He argues that instead of positing additional consciousnesses, the *Triṃśikaikā-kārikā* describes the transformation of this consciousness as, taking *vipāka*, *manana* and *viññapti* as three different kinds of functions, rather than characteristics, and understanding *viññāna* itself as a function, Vasubandhu seems to be avoiding any form of substantiality thinking in relation to consciousness, because *ālaya-viññāna* only represents the normal flow of the stream of consciousness uninterrupted by the appearance of reflective self-awareness. It is no more than the unbroken stream of consciousness called the life-process by the Buddha (Kalupahana, 1992: 139).

Karma Related to Storehouse-Consciousness

According to the Yogācarins' traditional explanation, the concept of consciousness is also applied to the karma function as seed and storehouse-consciousness. The following controversial issues vexed Buddhist philosophers as follows:

“If one carries out a good or evil act, why and how is it that the effects of that act do not appear immediately?”

“If it does not appear immediately and where is this karma waiting for its opportunity to play out?”

The answer given by the Yogācārins was the store consciousness or the 8th consciousness (*ālayaviññāna*). It simultaneously acts as a storage (house) place for karmic latencies and as a fertile matrix of predispositions that bring karma to a state of fruition. The similarity of this process to the cultivation of plants led to the creation of the metaphor of seeds (*bīja*) to explain the way karma is stored in the eighth consciousness. In the Yogācāra formulation, all experience without exception is said to result from the ripening of karma (Harvey, 2000: 297). The *Treatise on Action (Karmasiddhiprakaraṇa)* of Vasubandhu treats the subject of karma in detail from the Yogācāra perspective (Lamotte, 2001)³³

The Revolution of the Seed of Ālaya-viññāna – Related to Awakening

The Yogācāra meditation practice process is referred to in the Yogācāra tradition as *āśraya-parāvṛtti*, "revolution of the basis" (King, 1998). The mind returns to its original condition of non-attachment, non-discrimination

³³ Lamotte, E and Pruden, L.M. (2001) *Karmasiddhiprakaraṇa: The Treatise on Action* by Vasubandhu. Asian Humanities Press: 35.

and non-duality (Park,1983: 127). In this awakening it is realized that observer and observed are not distinct entities, but mutually co-dependent. The *Mahāyānasamgraha* of Asaṅga states the fruition of wisdom as the three bodies of the Buddha (*Trikāya*). It states that the eight consciousnesses can be transformed to four kinds of wisdom to achieve the *Trikāya*:

- 1) the first five consciousnesses transform the wisdom of accomplishment;
- 2) the 6th consciousness transforms the wonderful contemplating and investigating wisdom;
- 3) the 7th consciousness transforms the wisdom of equality; and
- 4) the 8th consciousness (*ālaya-vijñāna*) transforms the great round mirror of wisdom. These four kinds of wisdom can overcome self-view and dogmatic defilements and transform the way from impurity to purity and attain emancipation (Sheng, 1997: 245).

Ven. Rahula (2013) says that *ālaya-vijñāna* is considered by men as their “soul” or “self” (*ātman*). For instance, one of the Buddha's disciples (Sāti) took *vijñāna* in this sense and the Buddha reprimanded him for this wrong view. The attainment of *Nirvāṇa* is achieved by ‘the revolution of *ālaya-vijñāna* which is called *āśraya-parāvṛtti*. Similarly, “uprooting of *ālaya*” (*ālayasamugghata*) is used in the *Pāli* Canon as a synonym for *Nirvāṇa*. In addition, *anālaya*, no-*ālaya* is another synonym for *Nirvāṇa*. The *ālaya-vijñāna-parāvṛtti* is sometimes called *bīja-parāvṛtti* - revolution of the seeds - as well. (*Samklesika-dharma-bīja*) “Seeds” of defilements cause the continuity of *saṃsāra*. Therefore, one may see that, although not developed as in the Mahāyāna, the original idea of *Ālaya-vijñāna* was already there in the texts of Theravāda.³⁴

Tathāgata-garbha

The store consciousness concept developed along with the Buddha nature doctrine and resolved into the concept of midstream or the "consciousness-continuity" (*citta-santāna*) to avoid being denounced as running counter to the doctrine of emptiness (*śūnyatā*) and the tenets of selflessness (*anātman*). The Sautrāntika School asserts that an average man (*prthagjana*) also possesses the potentiality of becoming a Buddha. The Mahīśāsaka School suggests that there is the Buddha in the Saṅgha;

³⁴ Ven. Rahula, W. Theravāda source: *Buddhist Council of NSW*, <http://www.zip.com.au/~lyallg>.

therefore, one who gives alms to the Saṅgha obtains great merit; but not separately to the Buddha. They have an idea “I am a Buddha.”

According to Rahula (2013), in the *Laṅkāvatāra Sūtra* the term “*tathāgata-garbha*” is used as a synonym for *ālaya-vijñāna* and is described as “luminous by nature” (*prakṛti-prabhāsvara*) and pure by nature (*prakṛti-parisuddha*) but appearing as impure because it is sullied by adventitious defilements. In the *Aṅguttara-Nikāya*, *citta* (mind) is described as “luminous” (*pabhassara*), but it is sullied by adventitious minor defilements (*āgantukehi upakkilesehi upakkiliṭṭham*). One may notice here that *ālaya-vijñāna* (or *tathāgata-garbha*) and *citta* are described almost by the same terms. *SNM* says that *ālaya-vijñāna* is also called *citta*.³⁵

According to Kalupahana (1992: 140), the concept of *ālaya* is borrowed from *Laṅkāvatāra Sūtra*; but it does not have the same characteristics nor does it function in the same way. It is neither "the originally pure mind" (*prakṛti-prabhāsvara-citta*) nor "the location of the womb (of enlightenment)" (*garbha-samsthāna*).

According to Akira and Groner (2007), in Early Mahāyāna texts, no mention is made of a Buddha-element or nature (*Buddha-dhātu*), the potential to become a Buddha. The statement that all sentient beings have the Buddha-nature first appears in the Mahāyāna version of the *Mahāparinirvāṇa Sūtra*.³⁶

The Genealogy of Yogācāra School

In the early period of Yogācāra, it is said that Maitreya Nātha (c. 270-350 A.C) was the teacher of Asaṅga. Some believed that he is the future Buddha, but others consider he just had the same name with the future Buddha (Maitreya). According to Paramārtha in “*Vasubandhu’s biography*”, there were three brothers: Asaṅga (c.310 - c.390 A.C.), Vasubandhu and Virincivatsa. They came from Northern Puruṣa-pura, a Brahmin family and had ordinations under Sarvāstivāda School. Ven. Asaṅga used his supernatural power (meditation) to go to the pure land of Maitreya, in order to learn the emptiness concept of Mahāyāna doctrines from him. When Asaṅga and Vasubandhu propagated the dharma, it was time when the Gupta dynasty was flourishing (Sheng,1997, 237-248). The pilgrim Xuan Zang mentions that master Asaṅga was from Mahīśāsaka

³⁵ Ven Rahula, W. [Http: www.budsas.org/ebud/ebdha195.htm](http://www.budsas.org/ebud/ebdha195.htm)

³⁶ Akira, Hirakawa. tr. & ed. Paul Groner (2007). *A History of Indian Buddhism: From Śākyamuni to Early Mahāyāna*, New Delhi: Motilal Banarsidass Publishers: 297.

School excellent in meditation, later he converted himself to Mahāyāna. As we know the Mahīśāsaka School was excellent in profound meditation.³⁷

According to the biography of Vasubandhu, the master Vasubandhu (320-400 A.C.) pretended to be crazy and went to Sarvāstivāda School for learning. He learnt the *Mahāvibhāṣā-Śāstra* within twelve years and mastered it. Later, he wrote the *Abhidharmakośa Śāstra* of thirty volumes, even though he described the tenets of Sarvāstivāda, in certain parts he used the tenets of Sautrāntika to supplement the tenets of Sarvāstivāda. Before Vasubandhu converted to Mahāyāna, he had written many Buddhist Sarvāstivāda texts (Sheng, 1997: 247-8). We postulate that Master Vasubandhu was greatly influenced by the concepts of the Sarvāstivāda School.

In the middle period of Yogācāra, there were eleven great masters, for example, Ven. Dignāga is famous in logic study and was from South India. Another example, Ven. Dharmapāla, who is the chief of Nālanda, was very intelligent, and prominent. In India, he is considered as a brilliant star of the later historical period of Mahāyāna.

Some years later Yogācāra exponents also synthesized the two views, particularly Śāntarakṣita in the 8th century, whose view was later called "*Yogācāra-Svātantrika-Madhyamaka*" by the Tibetan tradition. The Yogācāra School held a prominent position in Indian Buddhism for centuries after the time of Aśaṅga and Vasubandhu. Teachings and derivations of this school have influenced and became well-established in East Asian Buddhism and Tibetan Buddhism.

Conclusion

The philosophy of Nikāya Buddhism might have played an important role to influence the development of the Mādhyamika and Yogācāra. Later, Mādhyamika and Yogācāra further established and led to the efflorescence of the principal teachings of the Buddha. Next, during the time of early Indian Buddhist schools, they practiced the direct path or different paths to the same destination (Arahantship). Later, during the time of early Mahāyāna, they practiced a direct path or different paths to reach optional destinations which could be either Arhantship or Bodhisattva-hood.

³⁷ 大唐西域記-卷五：「無著菩薩，健馱邏國人也，佛去世後一千年中...，從彌沙塞部，出家修學，頃之迴信大乘。」 Ven. Xuan Zang. *The Great Tang Dynasty Record of the Western Regions*. Taisho Tripiṭaka, (T51) 2087.

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